



## **EXHIBIT A**

Epiq Bankruptcy Solutions, LLC  
PO BOX 4470  
Beaverton, OR 97076-4470

Legal Documents Enclosed  
Please direct to the attention  
of the Addressee,  
Legal Department or President



LBH TRFNTC (ADDRESS2, ADRKEYID3) 31583

To: BAR(23) MAILID \*\*\* 000099865408 \*\*\*



E-CAPITAL PROFITS LIMITED  
P.O. BOX 957  
OFFSHORE INCORPORATIONS CENTRE  
ROADTOWN, TORTOLA  
BRITISH VIRGIN ISLANDS

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In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

LEHMAN BROTHERS COMMERCIAL CORPORATION ASIA LIMITED  
(IN LIQUIDATION)  
TRANSFEROR: LEHMAN BROTHERS INTERNATIONAL (EUROPE)  
8TH FLOOR, PRINCE'S BUILDING  
10 CHATER ROAD  
CENTRAL  
HONG KONG

Please note that your claim # 5062783-05 in the above referenced case and in the amount of \$4,500,000.00 allowed at \$4,500,000.00 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000099865408 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 31583



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P.O. BOX 957  
OFFSHORE INCORPORATIONS CENTRE  
ROADTOWN, TORTOLA  
BRITISH VIRGIN ISLANDS

E-CAPITAL PROFITS LIMITED  
TRANSFEROR: LEHMAN BROTHERS COMMERCIAL  
CORPORATION ASIA LIMITED  
C/O BAKER & MCKENZIE  
14/F, HUTCHISON HOUSE  
10 HARCOURT ROAD  
HONG KONG

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51346 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/10/2015 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 10, 2015.

## **EXHIBIT B**

Claim Name	Address Information
E-CAPITAL PROFITS LIMITED	TRANSFEROR: LEHMAN BROTHERS COMMERCIAL CORPORATION ASIA LIMITED, C/O BAKER & MCKENZIE, 14/F, HUTCHISON HOUSE, 10 HARCOURT ROAD HONG KONG
E-CAPITAL PROFITS LIMITED	P.O. BOX 957, OFFSHORE INCORPORATIONS CENTRE, ROADTOWN, TORTOLA BRITISH VIRGIN ISLANDS
E-CAPITAL PROFITS LIMITED	C/O 7/F, CHEUNG KONG CENTER, 2 QUEEN'S ROAD CENTRAL HONG KONG
HIMFIELD LIMITED	TRANSFEROR: LEHMAN BROTHERS COMMERCIAL CORPORATION ASIA LIMITED, ATTN: COMPANY SECRETARY, C/O 7/F, CHEUNG KONG CENTER, 2 QUEEN'S ROAD CENTRAL HONG KONG
HIMFIELD LIMITED	P.O. BOX 173, KINGSTON CHAMBERS, ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS
HIMFIELD LIMITED	C/O 12/F., CHEUNG KONG CENTER, 2 QUEEN'S ROAD CENTRAL HONG KONG
HIMFIELD LIMITED	C/O CHEUNG KONG (HOLDINGS) LTD, 8/F CHEUNG KONG CTR, 2 QUEEN'S RD, CENTRAL HONG KONG
INTESA SANPAOLO PRIVATE BANKING S.P.A.	REF. PAOLO POLLASTRI (LEGAL DEPARTMENT), VIA HOEPLI, 10, MILANO 20121 ITALY
LEHMAN BROTHERS COMMERCIAL CORPORATION ASIA LIMITED	(IN LIQUIDATION), TRANSFEROR: LEHMAN BROTHERS INTERNATIONAL (EUROPE), 8TH FLOOR, PRINCE'S BUILDING, 10 CHATER ROAD, CENTRAL HONG KONG
LEHMAN BROTHERS COMMERCIAL CORPORATION ASIA LIMITED	(IN LIQUIDATION), TRANSFEROR: LEHMAN BROTHERS INTERNATIONAL (EUROPE), 8TH FLOOR, PRINCE'S BUILDING, 10 CHATER ROAD, CENTRAL HONG KONG
UBS AG	TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A., BAHNHOFSTRASSE 45, ZURICH CH-8001 SWITZERLAND

<b>Total Creditor Count 11</b>
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